

KHARON

NORTH KOREA SANCTIONS-RELATED RISK MANAGEMENT:

An Interactive Training for Risk Management Professionals in Taiwan

北韓制裁有關地風險管理及合規審查：
為台灣商業領袖的互動培訓

SEPTEMBER 10, 2019

Taipei Marriott Hotel
Taipei City, Taiwan

You are invited to attend Kharon's specialized capacity building session on North Korea UN sanctions-related risk, tailored for Taiwan's leading risk management executives. North Korea's development of its UN-prohibited nuclear and ballistic missile programs has triggered international sanctions of unprecedented and growing depth and scope. Financial institutions and corporations worldwide are dedicating significant resources to identify and address commercial activity that may present UN sanctions-related risks.

Using a case study-based approach, this interactive training session will help you develop an enhanced awareness of North Korea's commercial activities that can negatively impact your control framework, correspondent relationships, and brand. This session will prepare you with the latest developments and best practices in UN sanctions compliance, with particular emphasis on managing North Korea sanctions-related risks and proliferation finance in the maritime and shipping industries.

WHY ATTEND?

Learn about the latest trends and typologies with respect to North Korean commercial activities most impacted by international sanctions programs. Enhance your institution's capacity to identify and manage complex risks presented by regulatory requirements for North Korea-focused international sanctions, and financial industry responses thereto.

- Analyze fact-based case studies and typologies highlighting North Korea's UN-prohibited commercial activities and access to the global financial system.
- Learn about and consider risk management opportunities to address North Korean sanctions evasion and circumvention techniques.
- Strengthen understanding of global best practices, regulatory requirements, and international legal obligations pertaining to North Korea sanctions-related risks.
- Learn about sanctions-related guidance for the maritime and shipping industries, including red flag indicators and sanctions evasion typologies.
- Explore open source datasets relevant to conducting effective sanctions-related due diligence in the maritime and shipping industries.

Address core financial crime compliance program requirements and FATF Recommendations that can strengthen your control framework.

- Governance & Culture of Compliance
- Role of the Compliance Officer
- Risk Assessment
- AML/CFT Policies, Procedures, and Internal Controls
- Know Your Customer
- Sanctions-Related Risk Screening

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REGISTRATION AND FEES

This course is offered free of charge for up to 50 participants. Funding for travel and incidentals is also available to select participants traveling. Please register on this [website](#) or contact Timothy Westmyer via email (twestmyer@crdfglobal.org), or call +01-703-526-6758 by 16 August to indicate your interest in attending the session and whether you are requesting travel support. Kharon's implementing partner, CRDF Global, will confirm enrollment and financial support via email as soon as possible.

MEET AND LEARN WITH LEADING STRATEGIC ADVISORY FIRM FOCUSED ON SANCTIONS-RELATED RISK

Howard Mendelsohn, Chief Client Officer at Kharon, will serve as the lead expert delivering the trainings. Mr. Mendelsohn previously served as Senior Vice President and Chief of Staff for the Enterprise Compliance Department at a large US financial institution. Prior to that, he served in the US Government as Acting Assistant Secretary and Deputy Assistant Secretary for the US Department of the Treasury's Office of Intelligence and Analysis.

Kharon is a research and analytics company that provides sanctions network intelligence through both a technology platform and integrated data feeds to financial services firms and other multinational institutions around the world.

CRDF Global is an independent nonprofit organization that provides international training and capacity building mechanisms to advance nuclear, chemical, and biological security. We partner with governments and the private sector to strengthen connectivity among experts and tailor the application of international best practices.